%	, ,			Approved	PTO/SB/21 (02-04) for use through 07/31/2006. OMB 0651-0031	
Under the Pa	perwork Reduction Act of 1995	no perso	ns are required to respond to a collec	ent and Trademark (tion of information u	Office; U.S. DEPARTMENT OF COMMERCE nless it displays a valid OMB control number.	
(\$)			Application Number	10/017,697		
TRANSMITTAL			Filing Date	12/7/01	BECENTED	
	FORM		First Named Inventor	Santos et al.	RECEIVED	
(to be used for	all correspondence after initial	filing)	Art Unit	1615	JUN 1 5 2004	
	•		Examiner Name	Fubara, Bless	sing M	
Total Number of	f Pages in This Submission	4	Attorney Docket Number	DIZ-1	OFFICE OF PETITIONS	
		FNC	LOSURES (Check all th	at apply)		
			VEGGGILEG (GIRGA UII UI		After Allowance communication	
Fee Tran	smittal Form		Drawing(s)		to Technology Center (TC)	
✓ _F	ee Attached		Licensing-related Papers		Appeal Communication to Board of Appeals and Interferences	
Amanda			Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)	
	Amendment/Reply		Petition to Convert to a		Proprietary Information	
	After Final		Provisional Application Power of Attorney, Revocation			
L A	Affidavits/declaration(s)		Change of Correspondence Add	dress	Status Letter Other Enclosure(s) (please	
Extension	n of Time Request		Terminal Disclaimer		Identify below):	
Express	Abandonment Request		Request for Refund		t of error in small entity status	
	·		CD, Number of CD(s)	Post C	ard	
	on Disclosure Statement	Rema				
Certified Documer	Copy of Priority nt(s)					
Rosnons	e to Missing Parts/		•			
	ete Application					
☐ R	tesponse to Missing Parts					
u	nder 37 CFR 1.52 or 1.53					
	SIGNA	TURE	OF APPLICANT, ATTOR	NEY, OR AGE	NT	
Firm or				1 1 50		
Individual name	Brown & Michaels PC					
Signature	Mea	mo	/ Rec	1. No. 45.	612	
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116					the United States Postal Service with	
I hereby certify the sufficient postage the date shown be	e as first class mail in an en	velope a	ddressed to: Commissioner for F	Patents, P.O. Box	the United States Postal Service with 1450, Alexandria, VA 22313-1450 on	
Typed or printed	name Justin Wood		· · · · · · · · · · · · · · · · · · ·			
	-	$\overline{}$			Date 6/8/84	
Signature					Date 6/9/04	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



RECEIVED

June 4, 2004

JUN 1 5 2004

In re Application of:

Santos et al.

OFFICE OF PETITIONS

Serial No.

10/017,697 12/7/01

Filed: Title:

TASTE MASKED AQUEOUS LIQUID PHARMACEUTICAL

COMPOSITION

Adjustment date: 06/18/2004 CKHLOK -12/20/2001 DTESSEN1 00000051 10017697

Art Unit:

1615

Examiner:

Fubara, Blessing M.

01 FC:201 -370.00 OP -234.00 OP

Attorney Docket No.:

DIZ-1

Confirmation No.:

5711

Adjustment date: 06/18/2004 CKHLOK 03/01/2002 VTRUONG1 00000058 10017697

01 FC:205 65.00 OP

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Adjustment date: 06/18/2004 06/15/2004 KKEMP1 00000008 10017697 01 FC:1461

-1179.00 OP

-294.00 OP

CORRECTION OF ERRORS IN SMALL ENTITY STATUS AND NOTIFICATION OF LARGE ENTITY STATUS UNDER 37 CFR § 1.28 (c)

Applicant established small entity status in good faith with the filing of the application on December 7, 2001. All fees associated with the above-identified application during the life of the application were paid as small entity status in good faith. It was later discovered that the small entity status was established in error.

As stated in 37 CFR 1.28 (c), such an error may be excused upon compliance with the following requirements:

> 1) Submission of an itemization of all fees paid, and calculation of the deficiencies owed for each fee previously paid as a small entity; and

2) payment of all deficiencies owed.

06/18/2004 CKHLOK

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02 FC:1202 03 FC:1201

770.00 OP 468.00 GP 774.00 OP 130.00 OP

CERTIFICATE OF MAILING

and Trademarks, Washington, D.C. 20231 on 614104

Justin Wood

06/15/2004 KKEMP1

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1. Itemization of all fees paid and the deficiencies associated with each of the fees

Type of Fee	Amount Paid	Date Paid	Current Large	Difference
			Entity Fee	Between Paid
				Fee & Large
				Entity Fee
Basic Filing Fee	\$370	12/7/01	\$770	\$400
Extra Claim Fees	\$528	12/7/01	\$1242	\$714
26 extra total	\$234 for the		\$18 *26 =\$468	
claims	extra claims at		+	
O souther	\$9/claim and		T	
9 extra	\$294 for the		\$86 * 9 =\$774	
independent	extra			
claims	independent			
	claims at			
	\$42/claim			
Assignment	\$40	1/29/02	\$40	0
Recordation				
Surcharge for	\$65	1/29/02	\$130	\$65
late filing fee or				
oath				
Assignment	\$40	6/28/02	\$40	0
Recordation				
Extension of	\$110	4/30/04	\$110	0
Time for 1	ΨΙΙΟ		Ψ**·	_
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month			
		Total Owed:	<u>\$1179</u>

2. Payment of deficiencies

The deficiency in the amount of \$1179 is enclosed. Under 37 CFR § 1.27 (g) (2), this payment serves as notification of loss of entitlement to small entity status.

The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to **Deposit Account No. 02-0910**.

Respectfully Submitted:

Santos et al.

Thomas T. Aquilla – Reg. No. 43,473

Attorney for Applicant

BROWN & MICHAELS, PC

400 M&T Bank Building - 118 N. Tioga St.

Ithaca, NY 14850

(607) 256-2000 • (607) 256-3628 (fax)

e-mail: docket@bpmlegal.com

Dated: June 4, 2004